

III. VLBI SYSTEM

III.2 K-3 AND K-4 VLBI DATA ACQUISITION TERMINALS

By

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ABSTRACT

A new data acquisition system, K-4, is developed by the Communications Research Laboratory (CRL), Japan as the next generation VLBI system. We have previously developed K-1, K-2, K-3 VLBI systems and the K-3 system succeeded in measuring plate motion. The K-4 system uses a rotary-head cassette recorder that makes the system smaller and easier to operate. The K-4 system is a compact VLBI terminal, one fourth the weight and one fifth the size of the Mark-III and K-3 systems. The K-4 system can be made fully compatible for output data from the Mark-III and the K-3 VLBI systems by using Input and Output Interface Units. The measured coherence loss of both the K-4 and the K-3 system is less than 5%. This shows that both systems perform well enough for VLBI experiments. It is found that the geodetic results for the 55 km baseline (Kashima-Tsukuba) of the K-4 system agree with those of the K-3 system, with a vector discrepancy of only a few millimeters. In 1990 this system obtained good geodetic results in Antarctica.

1. Introduction

In the Very Long Baseline Interferometer (VLBI)⁽¹⁾⁻⁽⁴⁾, the radio emission from a radio source several billion light years away is received by two stations and the distance between the two stations can be determined with an accuracy of 1-3 cm by measuring the slight difference in arrival times of the signals at the two stations. As the received radio wave is very weak, it is necessary to integrate a very large amount of data. Hence, it is necessary to record a large amount of observational data. A high-recording density high-speed large-capacity data recorder is therefore one of the most important parts of the VLBI system. The K-3 system used a fixed-head digital video recorder with open real tape. The K-3 system was designed for general purpose VLBI, geodesy, astronomy etc. It is usually operated as a fixed station. Due to the development of technology, VLBI is now used for more varied purposes and its observing stations are not only fixed ones but mobile ones used in remote islands and in Antarctica. As Japan excels in the technology of the rotary-head type recorder, in K-4 system uses a rotary-head digital-data cassette recorder. This satisfies the demand for a mobile observation system which is easy to transport, set-up, and operate.

2. The K-3 System

CRL developed the K-3 VLBI system at Kashima in accordance with the five-year plan (1979-1983)

and completed it in October 1983. On November 5, 1983 the first transpacific VLBI experiment conducted between Japan and the United States of America (Mojave and Owens Valley) resulted in a precision of 0.1 nsec in determining the delay time as had been expected. On January 23 and February 25, 1984 system level experiments were completed in cooperation with Mojave and Hat Creek. The data processing and analyses made at CRL and at NASA showed that the K-3 system achieved a precision of better than 3 cm for the baseline length. This matched the fundamental goal of system performance. The K-3 system will be used for the Japan-U.S. joint experiment starting in July 1984, to measure crustal plate movement with a precision of better than 3 cm. It has also been used for precise time comparison using VLBI^{(5),(6)} between Kashima and Richmond, measurement of the earth rotation, and a domestic VLBI experiment with the Geographical Survey Institute of the Ministry of Construction. K-3 is a precision VLBI system with a variety of applications such as geodesy, astrometry, radio astronomy, etc. It is compatible with the Mark-III VLBI system⁽⁷⁾ developed in the U.S.A. Figure 1a shows the K-3 VLBI system front panel. A block diagram of the system is shown in Fig. 1b. The K-3 system consists of an IF distributor, 14 video converter units, formatter, decoder and recorder. The IF distributor distributes the IF signal to the video converters. The video converter extracts the desired signal from the IF signal using single side-band frequency conversion. The formatter quantizes (1-bit) the 14-channel video input digital signal with a clock label. The decoder monitors the error rate of the recorded data.

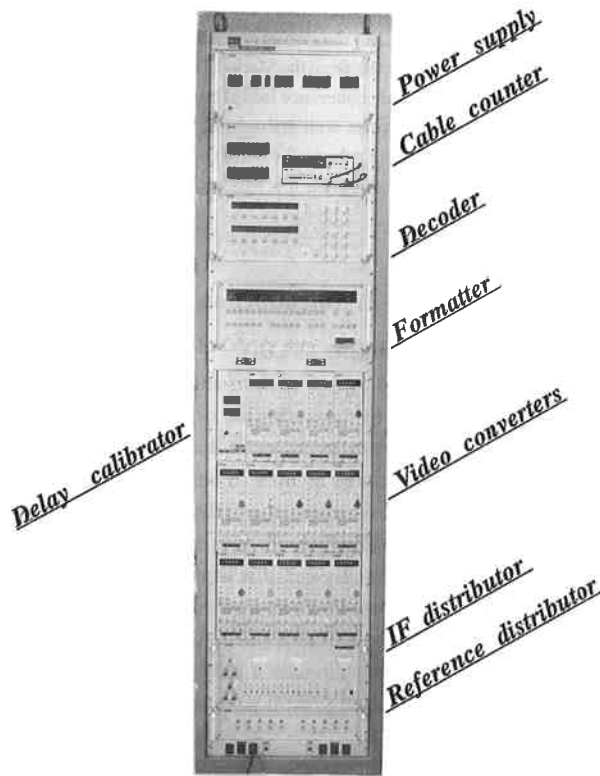


Fig. 1a Front panel of K-3 system.

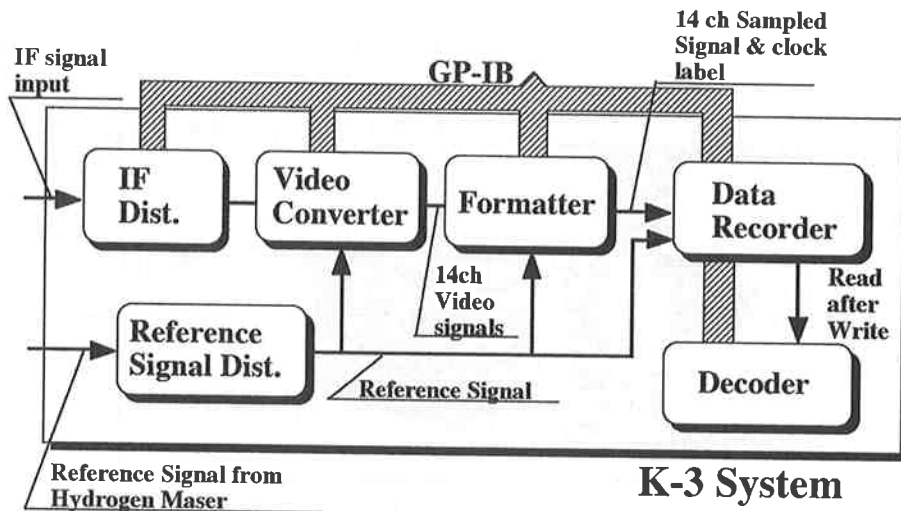


Fig. 1b K-3 system block diagram.

3. The K-4 System

The K-4 VLBI system is being developed at CRL as the next-generation system. In this system a rotary-head cassette recorder (American National Standard 19 mm Type ID-1 Instrumentation Digital Cassette Format) is used to make the system smaller and easier to operate. The interfaces are designed to be compatible with that new recorder. Two interface units are used to obtain compatibility with other VLBI equipment: an input interface unit between the video converter and the recorder and an output interface unit between the recorder and the data processing system. We are making a more compact system with observational functions and data processing functions housed in separate units. The K-4 system consists of the following;

- (1) local oscillator
- (2) video convertor
- (3) input interface unit
- (4) output interface unit
- (5) data recorder.

Figure 2a shows the K-4 system front panel. The block diagrams are shown in Figs. 2b and 2c.

The local oscillator synthesizes the local frequency signal for the video convertor.

The video convertor converts a window in the IF signal (100–500 MHz) input to a video signal (0–2 MHz). The frequency conversion is achieved by the image rejection mixer using single-sideband conversion. These functions are equivalent to the IF distributor, video convertors (16 ch) and reference distributor of the Mark-III or K-3 VLBI systems.

The input interface unit is used for data acquisition and recording at the VLBI observing station (Fig. 2b). It samples the video signal from the video convertor, and sends the digital data to the data recorder together with the time data which is derived from the external time standard signal.

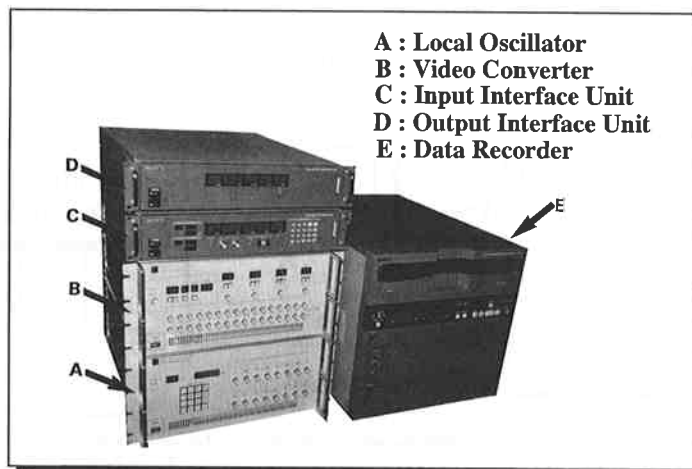


Fig. 2a Front panel of K-4 system.

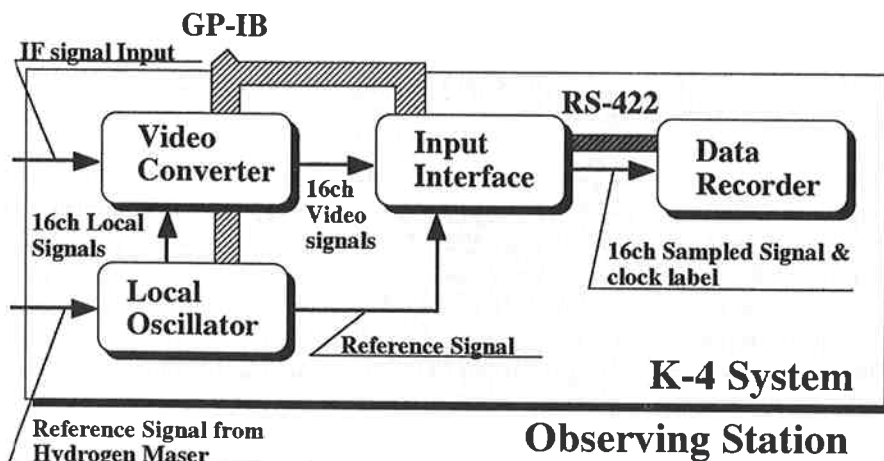


Fig. 2b K-4 system block diagram (observing station).

The output interface unit is used at the correlation station (Fig. 2c). It converts the reproduced data into the appropriate output format, and sends them to the correlator. The format of the output interface unit is compatible with the Mark-III format. Besides this format, another format is also provided for future correlator systems; this provides only digitized raw data signals. When multi-baseline correlation is processed, all the output interface units are daisy-chained through GPIB, so that the tape position data and status data of all the data recorders can be exchanged. The main (reference) output interface supplies the timing clock to sub-output interface units. The delay bit between the main and sub-output interface units is

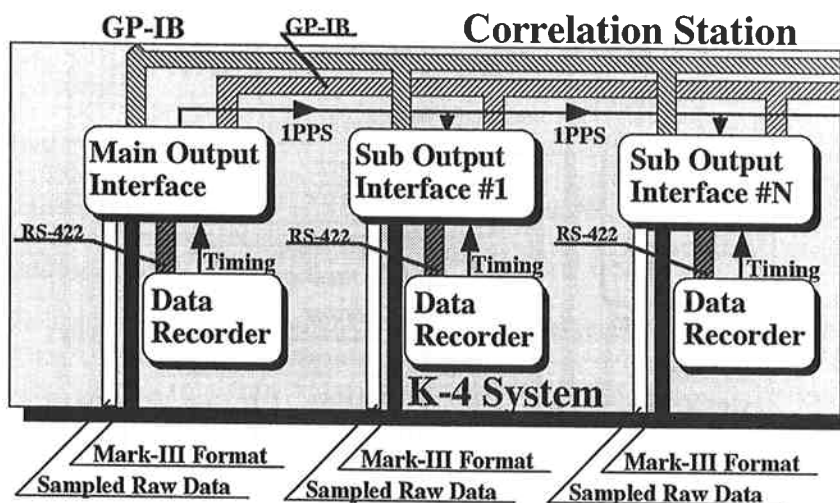


Fig. 2c K-4 system block diagram (correlation station).

Table 1 Size of K-3 and K-4 VLBI data acquisition equipment

| K-3 System | size in mm W,H,D | weight in kg |
|------------------|---------------------|-----------------|
| Ref. distributor | 426x 95x450 | 6 |
| IF distributor | 426x 199x450 | 16 |
| Video Converter | 85x 262x550 (x14) | 119 |
| Formatter | 426x 195x450 | 13 |
| Decoder | 426x 195x450 | 20 |
| Power supply | 426x 390x550 | 37 |
| Data Recorder | 560x1650x737 | 250 |
| Total | | 461 |

| K-4 System | size in mm W,H,D | weight in kg |
|------------------|---------------------|-----------------|
| Local Oscillator | 480x199x590 | 36 |
| Video Converter | 480x199x590 | 35 |
| Input Interface | 424x 88x550 | 13 |
| Output Interface | 424x 88x550 | 13 |
| Data Recorder | 436x432x635 | 67 |
| Total | | 164 |

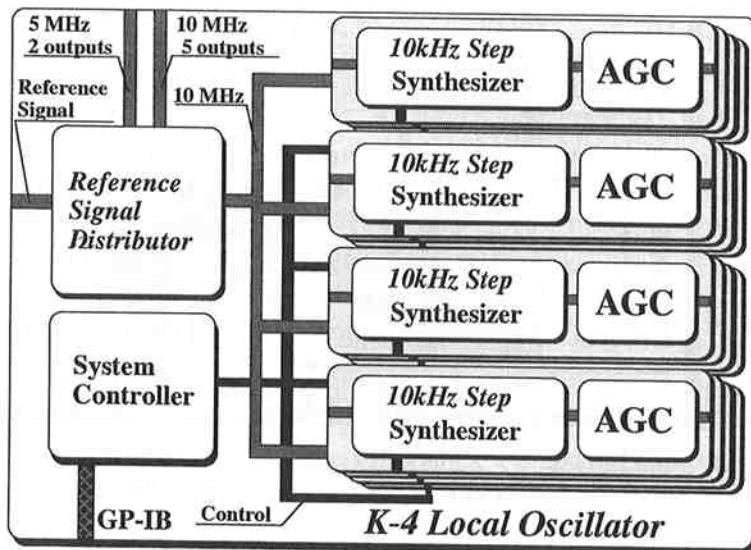


Fig. 3 Local oscillator block diagram.

calculated in each sub-output interface unit, using the time data which is periodically inserted into the recorded data.

It is possible to interface with current VLBI systems through the above-mentioned two interface units. Input and output interface units, when connected together directly, also perform a function equivalent to the formatter of the K-3 VLBI system.

Table 1 shows the size of the K-4 system compare to the K-3 system. The K-4 is a compact VLBI terminal, one fourth the weight and one fifth the size of the Mark-III or K-3 systems.

The next section deals with the data acquisition parts of the K-4 system: local oscillator, video converter, input Interface. III. 3 of this issue deal with the data processing functions for correlation: output interface and data recorder.

3.1 Local Oscillator

This unit is used at the VLBI observing station, and consists of the following sections;

- (1) reference signal distribution section
- (2) 10 kHz step synthesizer (& auto gain controller) section
- (3) system controller.

The block diagram is shown in Fig. 3.

The local oscillator is specially designed for use with the K-4 video converter. It supplies frequency and phase references to the converter which extracts video signals (bandwidth: 2 MHz or 4 MHz) from the IF signal which ranges from 100 MHz to 500 MHz.

The local oscillator outputs sixteen local signals, the frequency of which can be set by local or remote control. The frequency range and the frequency step are fully compatible with conventional VLBI systems such as the Mark-III or the K-3 systems. Communications with a computer for the remote control are made through a standard GP-IB interface. User-friendly message words are used for the communication.

(1) Reference signal distribution section

Geodetic VLBI achieves precise results by using the bandwidth synthesis method⁽⁸⁾. It is necessary to distribute the reference signal (10 MHz) from the hydrogen maser in a way which maintains coherence in each 10 kHz step synthesizer. The coherent 5 MHz signal is made from the 10 MHz reference and is required for the phase calibrator. This section has five 10 MHz outputs and two 5 MHz auxiliary outputs.

(2) 10 kHz step synthesizer section

The 10 MHz reference signal from the reference signal distribution section is divided and its phase is compared with the divided VCO signal, whose frequency is controlled by the CPU in the system controller. The output signal frequency can be selected in 10 kHz steps. This section supplies the local signals which are phase locked to the reference signal and are stable in phase noise. The frequency can be set in the range from 100 MHz to 500 MHz. Usually, this frequency is selected by the experiment organizer, according to the minimum redundancy theory of the bandwidth synthesis. Since the phase calibration signal is constructed every 1 MHz as an IF signal, it must be converted to 10 kHz as a video signal by the synthesized local signal before detection in 10 kHz by the correlation processor. The IF signal range is covered by two VCOs in this synthesizer. The output of the VCO is protected from the influence of the load impedance by an automatic gain controller.

(3) System controller

Execution commands and status data are sent to the host computer through GPIB. The local frequency is set by the computer through GPIB. The device addresses of GPIB are set by the DIP switches.

Table 2 Specifications of local oscillator

| SPECIFICATIONS of Local Oscillator | |
|------------------------------------|--|
| Output frequency | 99.99 to 500.09 MHz in a 10 kHz steps |
| Output level | +10 dBm |
| Harmonic ratio | < -30 dB |
| Spurious ratio | < -40 dB |
| Phase stability | < 3 deg. with a change of 1 deg. C < 1 deg. with a 1% change of power supply voltage < 3 deg. in Allan variance phase noise at 1sec. |
| Input reference | 10 MHz, +10 dBm |
| Reference distribution | 10 MHz, +10 dBm 5 channels 5 MHz, +10 dBm 5 channels |
| Remote control | IEEE-488 (GP-IB) |
| Power supply | 100/120/220/240 V AC, 50/60 Hz, 320 VA |
| Size | 480(W)x199(H)x590(D) mm |
| Weight | 36 kg |

3.2 Video Converter

This unit is used at VLBI observing stations, and consists of the following sections;

- (1) power detector and attenuator
- (2) filter and amplifier
- (3) image rejection mixer (IRM) section
- (4) system controller.

The block diagram is shown in Fig. 4.

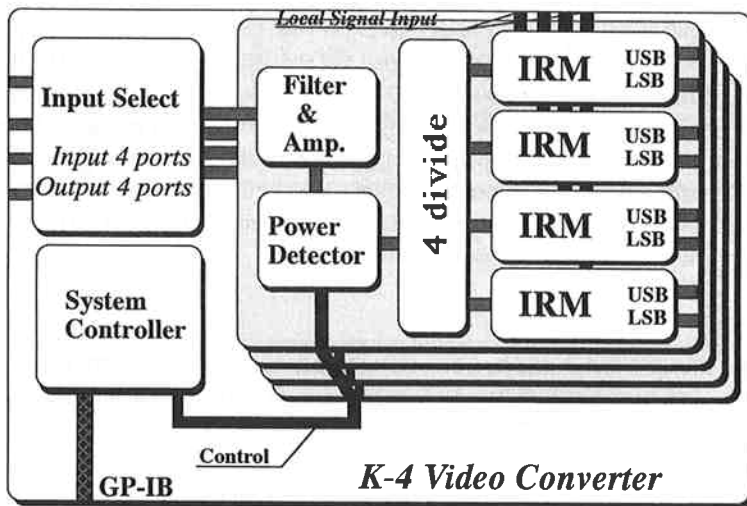


Fig. 4 Video converter block diagram.

The video converter is a compact unit in which an IF distributor and sixteen single side band converters are assembled. All analog devices required in VLBI observations make use of the local oscillator section 3.1. In conventional VLBI systems such as the Mark-III or the K-3, all these units are separated and rack mounted. It is very easy to transport the K-4 unit to a VLBI site and to operate it. Communication with this unit can be made with a computer using user-friendly words through a GP-IB interface.

Two types of operation are supported: one IF input and 16 video outputs; two IF inputs and two groups of outputs. In addition, four IF inputs and four groups of four video outputs are available for dual frequency bands and dual polarization VLBI observations. The video outputs are fully compatible with the Mark-III and the K-3 systems.

(1) Power detector and attenuator

Power detection of the four input IF signals is dealt with in this section. Each IF input signal is divided into four channels. Their powers are detected and sent to the system controller section and displayed on the front panel. The operator sets the attenuators from 0 to 15 dB, in order to keep the detected (displayed)

value between 10 to 90 on the front panel. Linearity is guaranteed within this range.

(2) IF filter and amplifier

Two kinds of band pass filter are included in each channel. One filter has a pass band of 100–230 MHz and the other has 200–520 MHz. The role of these filters is to eliminate 3rd order harmonics from the local oscillator. It is possible to select the Lo-IF or Hi-IF filter from the front panel in local mode or from the host computer in remote mode.

(3) Image rejection mixer (IRM) section

Image rejection mixers are integrated networks composed of an in-phase power divider, two double-balanced mixers and two 90-degree quadrature hybrids (or ± 45 degree networks). The primary function of the circuit is to separate the desired signal from its image signal. The image occurs during mixing when both the sum and difference output signals appear in the video band. In the image rejection mixer the image (undesired) signal is separated from the desired signal by vector subtraction. In K-4, this ratio is better than 20 dB.

These low pass filters are located after the IRM section. The filter consists of a 7-pole Butterworth filter circuit, as in the Mark-III and K-3 VLBI systems. The location of the 3 dB point is selected by 91% of the video band maximum frequency. The coherence loss resulting from the imperfect bandpass shape and foldover is about 3% (see Sections 4 and 5).

(4) System controller

Execution commands and status data are sent to the host computer through GPIB. Input attenuator adjustment and IF filter selection are possible remotely from the host computer; the power detected value is sent to the host computer through GPIB. The device addresses of GPIB are set by the DIP switches.

Table 3 Specifications of video converter

| SPECIFICATIONS of Video Converter | |
|-----------------------------------|-------------------------------------|
| IF input | |
| Number of input IF channels | 1/2/4 selectable |
| Frequency range | 100 - 500 MHz |
| Input level | -45 to -30 dBm/MHz |
| Input impedance | 50 ohm |
| Video outputs | |
| Number of video channels | 16 (divisible to 4 groups) |
| Frequency range | 0.8kHz - 2 MHz (4MHz option) |
| Image Rejection Ratio | > 20 dB |
| Output level | -2.6 dBm/MHz |
| Local signal leakage | < -50dBm |
| Output impedance | 50 ohm |
| Remote control | IEEE-488 (GP-IB) |
| Power supply | 100/120/220/240 V, 50/60 Hz, 320 VA |
| Size | 480(W)x199(H)x590(D) mm |
| Weight | 35 kg |

3.3 Input Interface Unit

This unit is used at the VLBI observing station. It consists of the following sections;

- (1) Sampling section
- (2) Time code generator
- (3) Phase calibration signal (Pcal) detector
- (4) Data recorder interface
- (5) Software control section.

The block diagram is shown in Fig. 5.

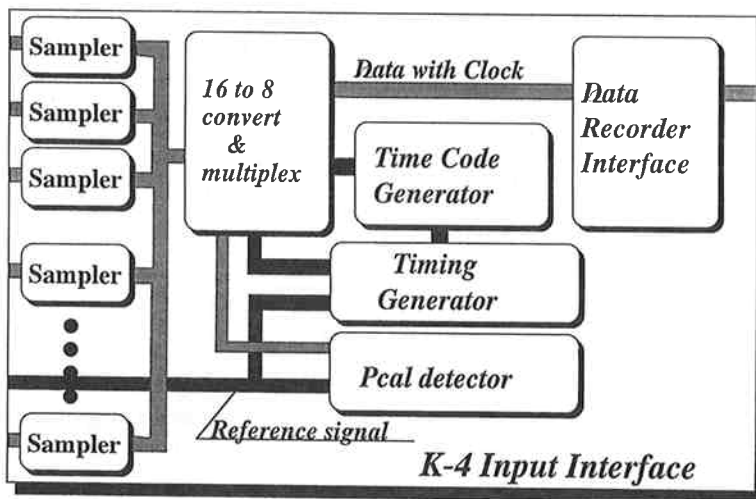


Fig. 5 Input interface block diagram.

(1) Sampling section

This section quantizes (1-bit) the 16-channel 2 MHz (4 MHz) video-bandwidth input signal with a 4 MHz (8 MHz) clock, and produces a 64 Mbps (128 Mbps) data train.

(2) Time code generator

This section produces all the clock signals necessary for the interface and the time data using the 10 MHz standard frequency signal supplied by the hydrogen maser atomic frequency standard and the external 1 PPS signal which is the basis of the UTC time. The time data are backed up by a built-in battery.

The phase of this internal 1 PPS signal is always compared with the leading edge of the external 1 PPS signal, and the error is generally within ± 3 clock lengths in terms of the 10 MHz clock. An alarm occurs if the error exceeds that criterion. The status can be sent to the host computer through GPIB.

The time data are generated from the internal 1 PPS signal. They are in the form of YYDDHHMMSS (BCD), and are overwritten upon the data train every second.

(3) Phase calibration signal (Pcal) detector

This section measures the amplitude and phase of the 10 kHz phase calibration signals, which are included in the video signals, by product-detecting them with a set of phase-quadrature 10 kHz signals which are derived from the external 10 MHz reference signal (Fig. 6). Any 2 channels out of 16 channels are selected for monitoring. They can be selected from the host computer or from the panel. Amplitude is displayed in % and phase is displayed in degrees. The measured data can be sent to the Host Computer through GPIB.

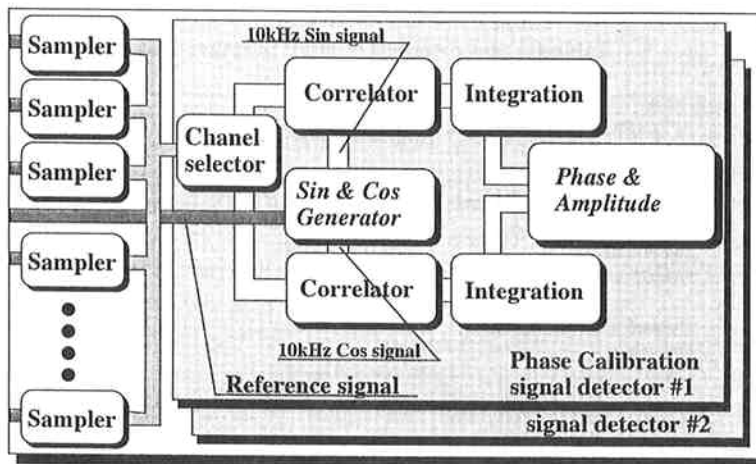


Fig. 6 Pcal detection.

(4) Data recorder interface

The signal data train of the 16 channels sent from the sampling section has a 16-bit format. This data output section translates into an 8-bit format and adds the time data and a (4-byte) sync code. The logic level is converted from TTL to ECL.

(5) Software controlling section

The following controls are performed by the mounted CPU (Z80).

(i) Interface with the host computer

Execution commands and status data are sent to the host computer through GPIB. The device addresses of GPIB are set by the DIP switches in the Input Interface Unit. The functions are;

- a) interpret the commands from the host computer
- b) control the data recorder accordingly
- c) send the status data (time data, phase calibration data)
- d) status data of the data recorder back to the host computer.

Also to transfer the protocol errors, device alarm of the data recorder, and status of the data recorder to the host computer through SRQ.

(ii) Interface with the data recorder

The remote control of the data recorder, the status of the operations and error status are exchanged through the RS-422 interface.

It is possible to read the error rates of the data recorder during recording and replaying; and it is possible to send those data to the host computer. The data recorder records a signal which indicates the tape position (23-bit binary data) as well as the sampled data, and the remote control of its set/reset is possible. The recorded position data (ID NUMBER) can be read.

Table 4 Specifications of input interface unit

| SPECIFICATIONS of Input Interface Unit | |
|--|---|
| Input signal | 16 channel video signal |
| Band width of the video signal | 2 or 4 MHz, 0 dBm (50 ohm) |
| Quantization rate | 1 bit/sample |
| Sample rate | 4 or 8 MHz/channel |
| Overall data rate | 64 or 128 Mbps |
| External reference signal | |
| Frequency | 10 MHz |
| Level | +10 dBm (50 ohm) |
| External 1 PPS | |
| Level | TTL compatible (50 ohm) |
| Build-up time | 20 nsec. |
| Remote control | IEEE-488 (GP-IB) |
| Power supply | 100-120/220 - 240 V, 50/60 Hz, less than 100W |
| Size | 244(W)x 88(H)x550(D) mm |
| Weight | 10 kg |

4. Coherence Estimation⁽⁹⁾⁻⁽¹¹⁾

In this section, we discuss the coherence loss of the VLBI system. The fundamental circuit design of K-3 and of K-4 is almost the same. The estimated coherence loss is also about the same for both systems. The cause of the coherence loss is as follows;

- 1) Reference signal distribution
 - i) Phase noise
- 2) IF signal distribution
 - i) Amplifier noise (displayed by noise figure)
- 3) Video conversion
 - i) Image leakage (displayed by image rejection ratio)

- ii) Phase noise of local signal
- iii) Imperfect band pass
- iv) Aliasing noise
- 4) Sampling
 - i) Hysteresis and offset.

1) Reference signal distribution

The reference signal supplied from the H-maser must be distributed with coherence maintained to all devices. It is possible to ignore the coherence loss (less than 0.01%) of the reference distribution part from the measurement of phase noise.

2) IF signal distribution

The cause of coherence loss in IF distribution is additional noise from the amplifier. It is possible to measure the performance of the amplifier using the noise figure (NF) and gain given by the NF (Noise Figure) meter. Precision of the NF meter is 0.01 dB for noise figure and gain, and the accuracies are ± 0.1 dB for noise and ± 0.2 dB for gain. The value obtained is better than 6 dB for noise. This value is -108.5 dBm/MHz in noise power density at the input port of the IF amplifier. The amplified noise power density from the sky is -57 dBm/MHz (typically), so the aggregate of S/N can be ignored (better than 0.01%). Therefore, the coherence loss caused by IF distribution is negligible.

3) Video conversion

i) Image leakage (displayed by image rejection ratio)

The coherence loss of imperfect image rejection is a loss of cross talk from the opposite sideband. If the output signal is U' , the upper side band of the input signal in IF is U , the lower side band of the input signal is L , and the coupling coefficients are k and x ; then U' is given by the followings:

$$U' = kU + xL.$$

The signal power is given by:

$$\langle U'^2 \rangle = k^2 \langle U^2 \rangle + x^2 \langle L^2 \rangle + 2kx \langle UL \rangle$$

where $\langle \rangle$ denotes time average.

Assuming that U and L are independent of each other, and that they are random noise, then $\langle UL \rangle$ is zero. If the restrictions in power are uniform for U , L , U' and L' , then x is described by $\sqrt{1-k^2}$. Hence

$$U' = kU + \sqrt{1-k^2} L.$$

The relationship between k and the image rejection ratio is given by,

$$\text{Image rejection ratio [dB]} = -10 \log(1-k^2).$$

Next, consider the correlation processing between two stations. The suffixes show each station:

$$U_1' = k_1 U_1 + \sqrt{1 - k_1^2} L_1$$

$$U_2' = k_2 U_2 + \sqrt{1 - k_2^2} L_2.$$

The correlated amplitude ρ is shown as follows:

$$\begin{aligned} \rho &= \langle U_1' U_2' \rangle \\ &= k_1 k_2 \langle U_1 U_2 \rangle + \sqrt{(1 - k_1^2)(1 - k_2^2)} \langle L_1 L_2 \rangle. \end{aligned} \quad \dots \dots \dots (1)$$

In conventional VLBI, the observed data are affected by Doppler shift caused by the earth's rotation. This is called "fringe rotation". In this case, the second term $\langle L_1 L_2 \rangle$ on the right side of Eq. (1) becomes zero because fringe rotation is different between the upper side band and the lower side band. But $\langle L_1 L_2 \rangle$ has some correlation in the case of no fringe rotation. The measured image rejection ratio is shown in Fig. 7.

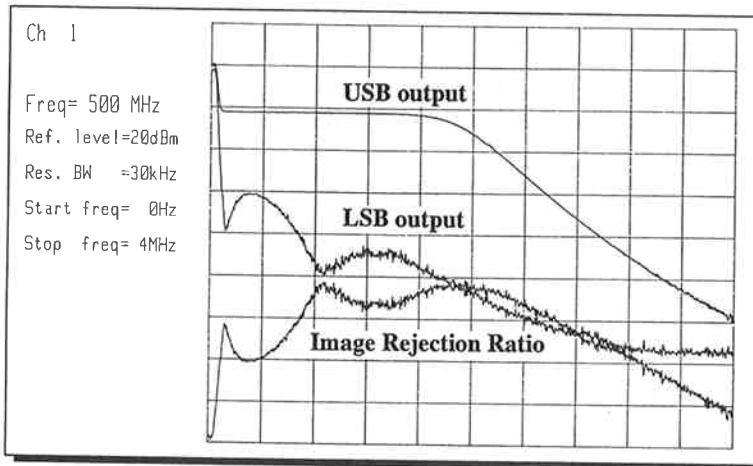


Fig. 7 Image rejection ratio.

If the image rejection ratios for two stations are 19.5 dB and 25 dB, k_1 is 0.9944 (image rejection ratio 19.5 dB) and k_2 is 0.9984 (25 dB). These values are substituted to Eq. (1), then the coherence loss is calculated as follows⁽⁹⁾⁻⁽¹¹⁾:

$$\begin{aligned} \rho &= 0.72\% \text{ with fringe rotation,} \\ &= 0.12\% \text{ without fringe rotation.} \end{aligned}$$